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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/204,236	12/03/1998	GREGORY S. HAMILTON	AR218-X	5251
29728 7590 GUILFORD PHAR!	04/27/2007 MACEUTICALS C/0	EXAMINER		
FOLEY & LARDNER LLP			CHANG, CELIA C	
3000 K STREET, N' WASHINGTON, DO		ART UNIT	PAPER NUMBER	
Whom to ron, D	2000, 0113	•	1625	
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HORTENED STATUTORY PER	IOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
2 MONTUS		04/27/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)				
Office Action Summary		09/204,236	4,236 HAMILTON ET AL.				
		Examiner	Art Unit				
		Celia Chang	1625				
Period fo	- The MAILING DATE of this communication app r Reply	ears on the cover sheet w	ith the correspondence add	dress			
WHIC - Exten after S - If NO - Failur Any re	DRTENED STATUTORY PERIOD FOR REPLY HEVER IS LONGER, FROM THE MAILING DASIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory period we to reply within the set or extended period for reply will, by statute, sply received by the Office later than three months after the mailing d patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNI 36(a). In no event, however, may a vill apply and will expire SIX (6) MOI cause the application to become A	CATION. reply be timely filed  NTHS from the mailing date of this co BANDONED (35 U.S.C. § 133).	,			
Status	·		•				
1)	Responsive to communication(s) filed on 24 Ja	nuary 2007					
	This action is <b>FINAL</b> . 2b) This action is non-final.						
•	<i>,</i> —						
•—	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Dispositio	on of Claims						
4)🖂	4)⊠ Claim(s) 90,92-96,99,101-105 and 108-111 is/are pending in the application.						
,	4a) Of the above claim(s) is/are withdrawn from consideration.						
5)□	5) Claim(s) is/are allowed.						
6)⊠	Di⊠ Claim(s) <u>90,92-96,99,101-105 and 108-111</u> is/are rejected.						
7)	Claim(s) is/are objected to.						
8)□	Claim(s) are subject to restriction and/or	r election requirement.	•				
Application	on Papers						
9) 🗌 🧻	The specification is objected to by the Examine	r.					
10) 🔲 🧻	Γhe drawing(s) filed on is/are: a) ☐ acc∈	epted or b) objected to	by the Examiner.				
	Applicant may not request that any objection to the o	drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).				
	Replacement drawing sheet(s) including the correcti	ion is required if the drawing	(s) is objected to. See 37 CF	R 1.121(d).			
11)[	The oath or declaration is objected to by the Ex	aminer. Note the attache	d Office Action or form PT	O-152.			
Priority u	nder 35 U.S.C. § 119			·			
	Acknowledgment is made of a claim for foreign ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C.	§ 119(a)-(d) or (f).				
,-	a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.						
	2. Certified copies of the priority documents have been received in Application No						
	3.☐ Copies of the certified copies of the prior		·· ——	Stage			
	application from the International Bureau	•	•				
* S	ee the attached detailed Office action for a list	, , , , , , , , , , , , , , , , , , , ,	received.				
			•	•			
Attachment	/e)						
	e of References Cited (PTO-892)	4) Interview	Summary (PTO-413)				
2) 🔲 Notice	of Draftsperson's Patent Drawing Review (PTO-948)	Paper No	s)/Mail Date				
	nation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date	5)  Notice of 6) Other:	Informal Patent Application				

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## **DETAILED ACTION**

1. Response to the office action dated Jan 24, 2007 have been entered and considered carefully.

Claims 90, 92-96, 99, 101-105 and 108-111 are pending.

2. The rejection of claims 90, 92-96, 99, 101-105, 108-111 under 35 USC 112 first paragraph is maintained for reason of record.

Applicants argued that the specification has provided operable description to the term "treating neurological disorder". This is erroneous. On page 22, the particular description was that the compounds have activity to stimulate neuronal regeneration and growth in a mammal without any specific evidence showing treatment of etiology or symptom of any disease. While neuronal regeneration or growth is expected to enhance whatever function of the neuron at certain anatomical location, no description of any of such regeneration or growth being inexorably linked to any disease or symptom. Particularly on page 39, Table III, the compounds were tested using a MPTP neurodegenerative model to indicate "substantial neuronal regeneration after induction of MPTP-derived lesions" (see lines 28-29). The measurement is made on dissected striata being an indicator of compounds being active in neuronal regeneration/recovery after MPTP induced lesions. No correlation as to which neurological disorder, what symptom, at what dosage, at what site of administration can such treatment be operated. Applicants provided no nexus as to such data being able to be extrapolated to treatment of any and all neurological disorder such as in the claims.

3. The rejection of claims 90 and 99 under 35 USC 112 first paragraph is maintained for reason of record.

Applicants argued that "solvates" is a well-known term. Such argument does not provide enablement for any solvate existed or can be made and can be tested for activity in treating any and all neurological disorder. As a matter of fact, the term "solvate" although known to chemist, does not automatically enable one skilled in the art to prepare them. A state of the art reference is hereby provided for applicants' convenience, it is clearly stated by chemists that

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"one can say that if the formation of polymorphs is a nuisance for crystal engineers, *solvate* formation can be a nightmare..." (see Braga et al. p.3640). In the entire specification, not one solvate has been prepared nor was there any description of what kind of solvate can be prepared.

4. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

**5.**Any inquiry concerning this communication or earlier communications from the examiner should be directed to Celia Chang whose telephone number is 571-272-0679. The examiner can normally be reached on Monday through Thursday from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas McKenzie, Ph. D., can be reached on 571-272-0670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

OACS/Chang April 24, 2007 Celia Chang Primary Examiner Art Unit 1625